

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Norris, J.

Serial No.: 09/305,622

Filed: March 5, 1999

For: **CLOSED LOOP FINANCIAL TRANSACTION METHOD AND APPARATUS**

Assistant Commissioner For Patents  
Washington, D.C. 20231

Certificate

OCT 29 2004

Sir:

of Correction

**INFORMATION DISCLOSURE STATEMENT**

In accordance with 37 C.F.R. 1.56, counsel wishes to make of record the attached items of information for the Examiner's consideration in connection with this application, which include:

- Copies of forms PTO-1449 and PTO-892 for prior application number 08/113,205.
- Copies of forms PTO-1449 and PTO-892 for prior application number 08/327,653.
- Copies of forms PTO-1449 and PTO-892 for prior application number 08/732,584, now patent number 5,940,811.

A new form PTO-1449, including references found in the following and not cited in the above prior applications:

- Application number 08/346,350. (Copies of references enclosed.)
- Application number 09/246,078. (Copies of references enclosed.)
- Application number 08/729,892, now patent number 5,870,721. (Copies of references enclosed.)
- Reexamination of U.S. Patent Number 5,870,721 (Reexamination Control Number 90/005,311). (Copies of references enclosed.)
- Other references discovered after filing the present application. (Copies of references enclosed.)

GRAU 23#

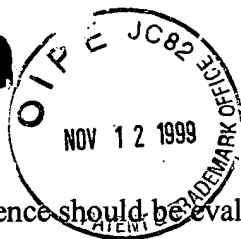
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Although each reference should be evaluated, particular attention is drawn to the following references:

U.S. Patent Number 5,576,951 to Lockwood

U.S. Patent Number 5,239,462 to Jones *et al.*

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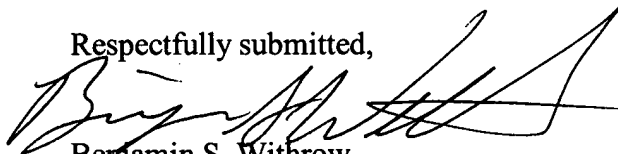
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Inclusion herein of any particular item of information is not to be construed as an admission that same is prior art.

**A check in the amount of \$240.00 is enclosed to cover the fee required under 37 CFR 1.17(p) for submitting this Information Disclosure Statement. The Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment, to Deposit Account 18-1167.**

Respectfully submitted,



Benjamin S. Withrow  
Registration No. 40,876

Date: November 12, 1999

File No. 4529-5B1

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS INFORMATION DISCLOSURE STATEMENT AND ACCOMPANYING FORMS AND REFERENCES ARE BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 C.F.R. 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON 11/12/99 (Date of Deposit)

Jennifer Rush

Name of Depositor

Jennifer Rush

Signature

November 12, 1999

Date of Signature

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